

DSU BROKERAGE SERVICES LTD

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+234 809 444 4477



COMPANY PROFILE

OVERVIEW:

DSU Brokerage Services Limited is a licensed Stockbroking Firm by Nigerian Stock Exchange and also registered as Broker/Dealer by Securities & Exchange Commission.

The firm was incorporated in July 2009 and commenced operation in March 2011. The Company has an authorised share capital of N300, 000,000 with N207, 000,000 fully paid.

Our long-term objective is to be a dominant financial institution in Nigeria with strong capabilities for promoting and supporting investment opportunities in the Nigerian Economy, as well as providing specialized services.

The organisation's creed is to deliver best quality services in a most professional and knowledge-based environment.

The company's Board is made of eminent Nigerians ably led by Dir. Shamsuddeen Usman as the Chairman. The executive management of the company is made up of professionals with cumulative 90 years' experience in the capital market and Investment Banking.

Services

- Securities Trading: equity, bond and treasury bills.
- Portfolio Management
- Fund Management
- Investment banking
- Non- Interest Banking (NIB)
- Financial Advisory Services – (Endowment and Fund Structure)
- Merger, Acquisition and Strategic Alliance
- Capital Raising – Equity, Bond and Venture Capital
- Due Diligence

Trade Group Memberships



The Association of Corporate and Individual Investment Advisers

Membership Number: CIIA-RC-000015

Membership Type: Corporate



Associate of Securities Dealing Houses of Nigeria (ASHON) is a National Trade Group (NTG) of all the dealing member firms of The Nigerian Stock Exchange (NSE).

Our Trading Venues



Our Institutional Clients



INVESTMENT ONE

Appointed as stockbroker

2021

Location: Abuja



Appointed as Joint Financial
Adviser/Fund Arrangers

2022

Location: Kano State



KANO STATE
THE CENTER OF COMMERCE

Appointed lead Financial Adviser
to the Kano state Government

N20, 000, 000, 000

(Twenty Billion Naira)

Bond issuance March,
2020.

Location: Kano State



Briclinks Africa Plc

Start Up business Advisory on
documentation preparation

requirements towards listing on

the Nigerian Stock Exchange growth
board .(2019).

Location: FCT Abuja

Our Institutional Clients



Appointed as Stockbroker in 2015 -
Status - Transaction Ongoing.

Location: FCT Abuja



Federal Government of Nigerian Savings Bond
Distribution Agent.

Location: FCT Abuja



Appointed as Stockbroker in 2015.

Location: FCT Abuja



Stockbroker and Financial Adviser to TGI, Chivita
and Osem.

Location: Lagos State



Appointed Lead Financial Adviser to Meet
new Regulatory Capital Requirement

Location: Abuja

Directors

Dr. Shamsuddeen Usman (CON)



Dr Shamsuddeen Usman, CON, a Nigerian economist and banker is the Chairman of the Board. He is currently the CEO of SUSMAN & Associates, an economic, financial and management consulting firm headquartered in Nigeria. Alhaji Usman was the Minister of National Planning between January 2009 and September 2013.

He was also Nigeria's Finance Minister between June 2007 and January 2009. He was responsible for the development of Nigeria's long-term development strategy, Nigeria and the National Integrated Infrastructure Master Plan.

He began his education at Dandago Primary School. After a secondary school education at the prestigious Government College Keffi and King's College, Lagos, he obtained a BSc. in Economics from Ahmadu Bello University in Zaria, Nigeria.

Directors

Kola Oyedokun



An alumnus of the University of Lagos where he obtained both B.Sc. Economics (Second Class Upper Division) and M.Sc. Economics in 1989 and 1992 respectively. He qualified as a Chartered Stockbroker in September 2003. He is also an associate member of the Certified Pension Institute of Nigeria.

He started his working carrier as an Audit officer at the Office of Auditor General of Oyo State in 1991 and became a pioneer Audit staff in the newly created Osun State later in the year. He was the Operation Manager at Obat Petroleum Limited, Ibadan, dealing Clerk at Kinsley Securities Limited, Lagos and Head of Capital Market at Peninsula Asset management & Investments Limited among others.

Kola has a vast experience in Agric Commodity training and he is a regular facilitator at numerous Agric Commodity Trading Seminars. He joined DSU Brokerage Services Limited on 5th August, 2013 and is the current Managing Director of the Company.

Dr. Isa Usman



Dr. Isa is an Independent Communication Consultant of repute. Isa obtained First degree in Electrical Electronic Engineering, Masters in Electrical and Electronic Engineering (M. Eng. Hons) and Ph.D. in Wireless Communications and Radio

Systems from the University of Bath, Bath, United Kingdom. Dr. Isa is currently a Director of DSU Brokerage Services Ltd. He has worked as consultant and project manager to Qinetiq Malvern, UK. He was also at one time the deployment Engineer at Aircom International.

Dr. Isa Usman is married with three Children.

Financial Advisory Team

Adesoji Adedokun



Adesoji is an accounting graduate at The Polytechnic, Ibadan where he had HND Accounting (Upper credit) in 1998; he then proceeded to obtain an MBA in Accounting at Imo State University Owerri in 2001 before he bagged a Ph. D in Accounting at Cosmopolitan University, Missouri, USA in 2011. He qualified as a Chartered Accountant in 2001 and a Chartered Stockbroker in 2012.

Soji is a seasoned Accountant /accomplished Auditor with about fifteen years post qualification experience as a Chartered Accountant. He has occupied various managerial positions in the past including being the Audit Manager at Solomon Isaiah A & Co., Asaba, Internal Audit Manager at African Publishers Ltd.,

., Onitsha, Chief Operating Officer at Capital Holdings Ltd., Lagos and Chief Finance Officer/ Chief Compliance Officer at Peninsula Asset Mgt. & Inv. Co. Ltd., Lagos before he joined DSU Brokerage Services Limited as Chief Finance Officer in August 2015.

Enemari Goodwin.CNA, ACTI, CSA, MIFE.



Mr Enemari Goodwin is a chartered system Accountant and certified Tax practitioner. He holds master's Degrees in financial Management and public sector accounting from Nasarawa State University. Postgraduate Diploma in Economics and financial fraud from Babcock University. He has practices for over fifteen years and Advisor to a number of organizations on financial issues.

Trading Team Members

Olaoluwa Olaniyi



He holds a B.Sc in Economics from the University of Nigeria, Nsukka and MBA in Finance from Obafemi Awolowo University, Ile-Ife. He is an Associate Member of the Chartered Institute of Stockbrokers and of the Chartered Institute Bankers.

He started his working career with United Bank for Africa Plc. where he worked in various capacities between 1986 and 2004 when he resigned his appointment as Compliance Manager. He started his Stockbroking career in January 2007 and worked with Interstate Securities Ltd, Omas Investments Limited, and Horizon Stockbrokers Limited before joining DSU Brokerage Services Limited as Chief Dealer.

Oluwatosin Oyawale



Tosin Oyawale is an Investment professional. A graduate of Economics Lagos State University. He is an Associate member of Chartered Institute of Stockbrokers. He started his investment management career at Global Acquisition Limited (Asset Managers) in 2001. Has over two-decade experience in Capital Market. His Capital Market experience include- Securities Trading, Investment Research, Capital Market Operations. He set up the research desk of many stockbroking firms including Belfry Investment & Securities Ltd. Peninsula Asset Management & Investment Company Limited and Midas Stockbrokers Limited where he left before joining DSU Brokerage Services Limited as the Chief Compliance Officer.

Non Executive Director



LL.B, BL, Acarb

Jazirah Ahmadu Bello

Jazirah Ahmadu Bello Graduated in 2008 from Igbinedion University Okada, Edo State and was called to Bar 2017, She is vast in Litigation, Corporate Practice, Property Practice, Arbitration, Mediation, Negotiation and all other legal services.

Trading Team Members



Adeola Adedamola Raphael.

Finance /Trading Team

Adedamola is currently the Head of Accounts with DSU Brokerage Services Limited Abuja and chief Administrative officer of ACT Financial Services Limited Prior. He is a seasoned Accountant, His an Associate of the Nigerian Institute of Management. He has over 4 years' experience in commodity trading. He obtained a MSc. in Accounting from Bayero University, Kano.



Esther Victor Chidimma

Stockbroking services/Trading Team

Ms. Esther Victor is currently the Head of Operation with DSU Brokerage Services Limited Abuja, She obtained a BSc. in Accounting from Ecole Suprieure Sainte Felicite University, Cotonou. She has years' of experience in commodity Trading.

Legal Team



Zeleeaha Zakari Ahmad. LLB (Sharia compliance)

Zeeleha Zakari Ahmad Graduated from University of Maiduguri in 2012 and was called to Bar 2013. She is expert in Shariah litigation, Corporate law Arbitration, Mediation and Negotiation She is currently financial secretary of NBA Ungoggo Branch Kano, the P.R.O of FIDA (international federation of women lawyers).

Certificate of Incorporation

RC 830202



**CORPORATE AFFAIRS COMMISSION
FEDERAL REPUBLIC OF NIGERIA**

Certificate of Incorporation

I hereby certify that

DSU BROKERAGE SERVICES LIMITED

*is this day incorporated under the COMPANIES AND ALLIED MATTERS
ACT 1990 and that the Company is Limited By Shares.*

Given under my hand at Abuja this Ninth day of July, 2009

Dr. DENNIS UDE EKUMANKAMA

270004

SEC Registration



**Securities &
Exchange
Commission**

SEC TOWER, Plot 272 Samuel Adesujo Ademulegun Street, Central Business District, P.M.B 315, Garki - Abuja, Nigeria.
Tel: 09-6330000 - 6330399

e-mail: sec@sec.gov.ng web: www.sec.gov.ng

SEC/RRIE/FR/DSU BROKERAGE SERVICES LTD/VOL.1/1593

March 4, 2011

The Managing Director
DSU Brokerage Services Ltd
BIC Plaza, 2 Murtala Muhammed Way
Bauchi

Dear Sir,

REGISTRATION AS BROKER/DEALER AND CORPORATE INVESTMENT ADVISER

We refer to your application for registration and subsequent interview held on Tuesday, October 26, 2010 with the Registration Committee of the Commission.

In the exercise of the powers conferred on it by the **Investments & Securities Act (ISA) No. 29 of 2007** and the **Rules and Regulations** made there-under, the Commission has granted your Company/Firm registration to perform the function(s) of Broker/Dealer and Corporate Investment Adviser in the capital market with effect from Thursday, March 03, 2011.

The following is/are your company's sponsored individuals:

<u>Name</u>	<u>Type of Registration</u>
1. Mr. Usman Dahiru	Director
2. Mr. Amole Habeeblai O.	Dealing Clerk
3. Mr. Akinde Olumide Akinkunmi	Compliance Officer

By virtue of this registration you are authorized to perform the function(s) for which you are registered subject to the following conditions:

- That you submit to the Commission a copy of the updated annual Fidelity Bond/Professional Indemnity Insurance Policy;



Lagos Zonal Office: No. 3 Idejo Street, Victoria Island P.M.B. 12638, Marina - Lagos
Port-Harcourt Zonal Office: New First Bank Building 22/24 Aba PH Expressway, Port-Harcourt. Tel: 084- 575939-40

NSE Membership Application

THE Nigerian
STOCK EXCHANGE



STOCK EXCHANGE HOUSE
(8TH, 9TH & 23RD FLOORS)
2/4, CUSTOMS STREET
P.O. BOX 2457, LAGOS
TELEPHONE: 2660287, 2660305, 2660335
2669978, 2661293
FAX: 01-2668724, 2668281
E-mail: nse@nigerianstockexchange.biz
Website: www.nigerianstockexchange.com

TRADING FLOORS: LAGOS, ABUJA, KADUNA, PORT-HARCOURT, KANO, ONITSHA, IBADAN, YOLA, BENIN, UYO, ILORIN, ABEOKUTA

November 20, 2009

The Managing Director,
DSU Brokerage Services Limited
Shekinah Plaza, (First Floor) Wing C
Plot 1, Samuel Ladoke Akintola Boulevard
Garki II, Abuja

Dear Sir,

RE: APPLICATION FOR DEALING MEMBERSHIP LICENCE

We are pleased to inform you that pursuant to the opening of the Bauchi Branch of The Nigerian Stock Exchange, the National Council approved your application for Dealing Membership Licence.

By virtue of this approval you shall be required to open an office in Bauchi and trade from there every month. Before you may commence trading on our Floors, you are required to satisfy the following conditions, if you have not already done so:

1. Obtain due and subsisting registration from the Securities and Exchange Commission to act as a Broker/Dealer.
2. Ensure that the Chief Executive Officer of your firm is an Authorized Dealing Clerk of The Exchange (Practicing Stockbroker);
3. Payment of the sum of N25,000 (twenty-five thousand Naira) being Eligibility fee to the Central Securities Clearing System Limited. Please contact the Central Securities Clearing System for details of how to purchase it's shares;
4. Payment of the sum of N1,000,000.00 (one million Naira) being your contribution to The Nigerian Stock Exchange Investor Protection Fund;
5. Payment of the sum of N500,000 (five hundred thousand Naira only) being Application/ Processing fee;
6. Payment of the sum of N100,000.00 (one hundred thousand Naira only) being Membership fee;
7. Successfully undergoing a pre-licence inspection by the Compliance Department of The Exchange;
8. Payment of the sum of N780,000.00 (seven hundred and eighty thousand Naira) being cost of a seat on the ATS Trading Engine;

NASD License

NASD 066



Trading License

This is to certify that

DSU Brokerage Services Limited

is duly licensed to act as a "BROKER/DEALER" on the

NASD OTC Market

this 2nd day of October 2013

A stylized black ink signature of the Chairman, consisting of several overlapping horizontal strokes.

Chairman

A blue ink signature of the Managing Director, written in a cursive style.

Managing Director



8, Asaba Close, Area 11, Garki, Abuja - FCT

Contact Persons:

Kola Oyedokun
Managing Director/CEO

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